



Protecting Your Privacy

We consider it our privilege to serve your financial needs and we value the trust you have placed in us. We may collect certain information in order to help Insight provide a high level of service with respect to the products and services we offer. As we serve your financial relationship, we are committed to safeguarding such information.

At Insight, we recognize the importance of protecting your privacy. It is not only what our clients expect; it is also the right way to conduct our business. A key feature of the Gramm-Leach-Bliley Act of 1999 requires each member of the financial services industry to communicate once a year its privacy policy concerning the handling of your personal information; accordingly we are providing the following:

Insight Securities collects non-public personal information directly from applications or other forms that you supplied either in person, by telephone or electronically. This information may be collected on a continuing basis, including when you open an account, seek advice about your investments, or make a transaction. We may verify this information or obtain additional information from consumer reporting agencies or public sources. In addition, this information may relate to your finances, employment, other personal characteristics as well as transactions and interrelations with your Registered Representative and our other employees.

We limit access to the information we have about you to those employees who need to know in order to provide you with services that meet your financial needs and goals. We maintain physical, electronic and procedural safeguards that comply with federal laws and regulations to guard your information. Insight Securities, Inc. does not sell customer information to anyone.

Insight Securities does submit to the appropriate clearing and custodial firms, which are also members of FINRA, NYSE and SIPC, and to other financial product providers only the information necessary for the processing and use of the financial products and securities offered by us, through our clearing arrangement with them. Otherwise we will not disclose any personal information about a current or former customer, except as permitted by law.

You may access your brokerage account activity and holdings through either monthly or quarterly statements, or online. If you require any additional information, or if you wish to review your personal information, please contact your Registered Representative.

Your trust is one of our most important assets, therefore we will continue to protect your privacy and continually review our privacy policy. If at some point in the future we revise our privacy practices that affect your personal information we will notify you.

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Insight Securities, Inc., is a member of FINRA, Financial Industry Regulatory Authority, and SIPC®, Securities Investor Protection Corporation. For further information or a brochure on SIPC®, you may call SIPC at 202-371-8300 or contact them on the Web at www.sipc.org